



Ministerial Declaration The G7 Digital and Tech Ministers' Meeting 30 April 2023

Responsible AI and Global AI Governance

- 39. In 2016, we initiated an international discussion on Al principles. This discussion helped pave the way for the 2019 OECD Al Recommendation (OECD Al Principles) and the associated work launching the OECD Al Policy Observatory and Network of Experts. In 2020, we supported the launch of the Global Partnership on Artificial Intelligence (GPAI).
- 40. The OECD AI Principles provide guidance for trustworthy AI and for ensuring an open and enabling environment for AI development and deployment that is grounded in human rights and democratic values. Since adoption of these principles, the OECD continues to engage and work with the global AI community to support their implementation.
- 41. Rapid AI developments call for attention to, and cooperation on, emerging and medium-term policy issues including development of technical standards, developed by international standards development organisations (SDOS), as well as other tools to ensure the development and deployment of trustworthy AI in line with the OECD AI Principles. In this context, we welcome the contributions of existing initiatives on these tonics.
- 42. We reaffirm our commitment to promote human-centric and trustworthy AI based on the OECD AI Principles and to foster collaboration to maximise the benefits for all brought by AI technologies. We oppose the misuse and abuse of AI to undermine democratic values, suppress freedom of expression, and threaten the enjoyment of human rights.
- 43. We stress the importance of international discussions on Al governance and interoperability between 40 governance frameworks, while we recognise that like-mided approaches and policy instruments to achieve the common vision and goal of trustworthy Al may vary across GT members. Tools for trustworthy Al, such as inguisted and interoperability frameworks, such as instructed and interpretable seasons of the comparable assessment and evaluation of Al systems. We support the development of tools for trustworthy Al through multistakeholder international organisations, and encourage the development and adoption of international technical standards in SIOS through private sector-led multistakeholder processes. We comment work to date in the OECD on mapping the commonalities and differences between trustworthy Al frameworks, and we intend to work together to support such work that fosters interoperability.

1. Introduction

- Definitions
- · Toronto Declaration
- Recall of Gender Equality Problems
- Update June 2023

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Definitions: AI and algorithms

Artificial intelligence

 Al system is a software that '(..) for a given set of human-defined objectives, generate outputs such as content, predictions, recommendations, or decisions influencing the environments they interact with;(..)'.

(Art. 3 para. 1, EU AI Act)

- Examples:
 - machine learning (supervised, unsupervised, reinforcement, deep learning), Statistical approaches, search and optimization methods

Algorithm

 "... sufficiently detailed and systematic instruction of action to solve a mathematical problem so that, when implemented correctly, the computer computes the correct output for each correct set of inputs"

(Prof. Katharina Zweig)

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Definitions: Decision errors

Bias

 Cognitive biases, collective term for systematic decision errors

(Daniel Kahneman, Olivier Sibony, Cass R. Sunstein: Noise, 2021, p. 163f.)

Noise

Variability of error, chance variability of judgments or an invisible tax

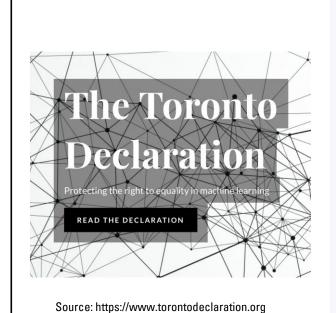
(K/S/S: Noise, 2021, pp. 3-5 and D. Kahneman, HBR, 2016, p. 36-43)

Algorithmic discrimination = occurs when automated systems contribute to unjustified different treatment or impacts disfavoring people, for example based on their sex.

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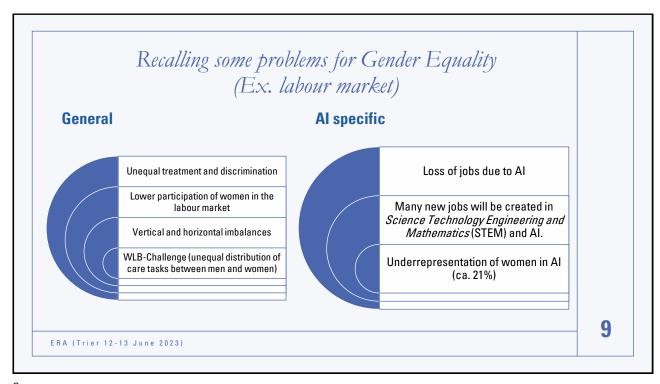
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Toronto Declaration

- Duty of states to protect human rights; this includes ensuring the right to nondiscrimination by private sector actors" (Toronto, para. 38)
- Need for oversight of the use of machine learning by the private sector in contexts that present risk of discriminatory outcomes (Toronto, para. 40)

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General Update latest news: June 2023

- RightsCon Summit Costa Rica 5-8 June 2023: Human Rights in Digital Age
- New and emerging technologies need urgent oversight and robust transparency: UN experts (2 June 2023) → gender & discrimination
- UN Secretary-General's Policy Brief on the Global Digital Compact → multistakeholder approach (interesting for business and legal advisors)
- Consultation UN Human Rights & on Gender, Tech, and the Role of Business (June 15, 2023)

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EU Update latest news: June 2023

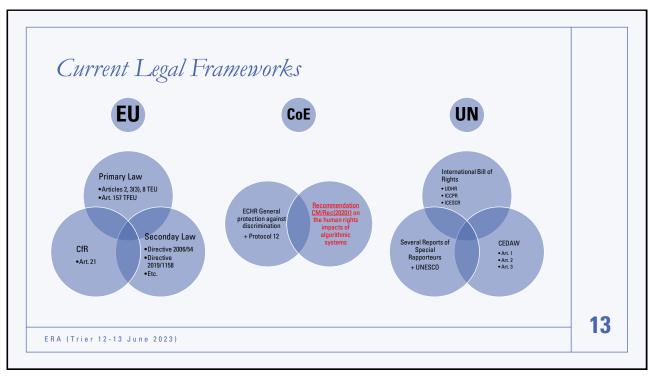
- Compromise text of European Parliament (EP) (16 May 2023)
- Vote in Plenary of EP expected 12-15 June 2023 session
- "Once approved, they will be the world's first rules on Artificial Intelligence" (EP News, 11 May 2023)
- MEPs introduced complaints about AI systems + receive explanations of decisions based on high-risk AI systems that significantly impact their rights
- Strengthen EU Al Office responsible for monitoring how the Al rulebook is implemented

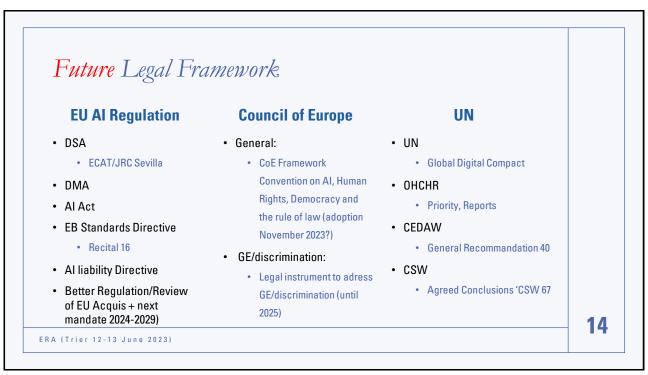
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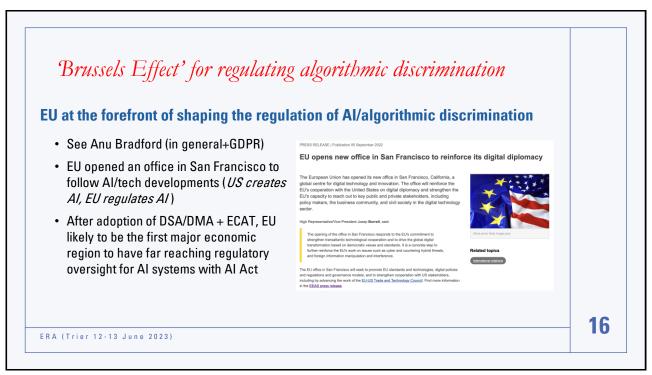
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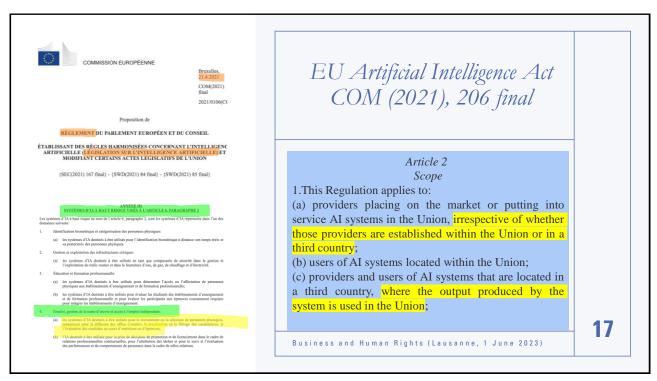
2. Current and Future Legal frameworks Alain Supiot, La Gouvernance par les nombres, Fayard, 2015 ERA (Trier 12-13 June 2023)

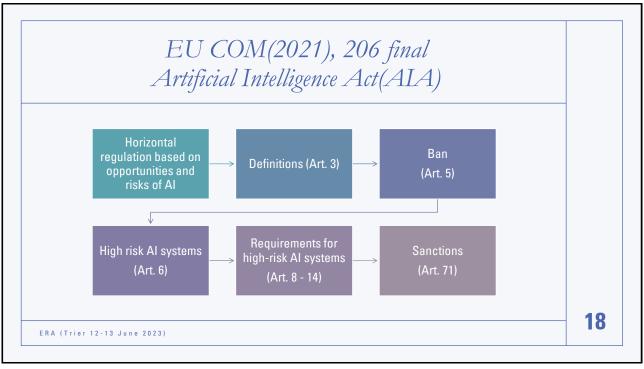












EU COM AI Act & Equality

Horizontal instrument for Al

- Proposal complements existing Union law on non-discrimination
- Concrete requirements to minimise the risk of discrimination by algorithms
- Design and quality of datasets used for Al system development.
- Rules for: Testing, risk management, documentation and human oversight throughout the lifecycle of AI systems.

Equality in the AIA proposal

- "(Non)-discrimination" mentioned (16x)
- "Gender Equality" (1x)
- "Women" (x2): Art. 21 CFR + in relation to recruitment systems

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EU COM Draft AI Act & Recruitment

Risk-based approach

- Article 6 + Article 6(2) + Annex III (No 4 Recruitment and selection AI systems)
 - · Relevance to gender equality
 - Danger to fundamental rights?

Annex III (Recruitment systems)

 "Throughout the recruitment process and in the evaluation, promotion, or retention of persons in work-related contractual relationships, such systems may perpetuate historical patterns of discrimination, for example against women (...)". (Recital 36)

Draft Compromise Amendments of European Parliament (16/05/2023)

Article 4a - General principles applicable to all AI systems

1.e) "diversity, non-discrimination and fairness' means that AI systems shall be developed and used in a way that includes diverse actors and promotes equal access, gender equality and cultural diversity, while avoiding discriminatory impacts and unfair biases that are prohibited by Union or national law."

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EU COM Draft AIA & Annex III

Annex III (Recruitment systems), No. 4

- Employment, workers management and access to self-employment:
- (a) Al systems intended to be used for recruitment or selection of natural persons, notably for advertising vacancies, screening or filtering applications, evaluating candidates in the course of interviews or tests;
- (b) Al intended to be used for making decisions on promotion and termination of work-related contractual relationships, for task allocation and for monitoring and evaluating performance and behavior of persons in such relationships.

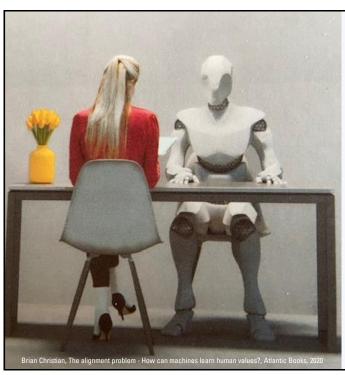
Article 6(2)

- · Classification as a high-risk AI system
- Application of the special provisions of Chapter 2 (Articles 8 - 14):
 - Article 9: Risk management system
 - Article 10: Data and data governance
 - Article 11: Technical documentation
 - · Article 12: Record-keeping
 - Article 13 Transparency and provision of information to users
 - Article 14: Human oversight

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3. AI and gender equality in practice

- · AI, Biases and Gender Equality
- Public authorities
- · Private companies
- · Legal Questions
- · Case law

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Gender Equality, AI and Biases

Gender biases

- Word2vec/word vectors: language, machine learning, frequency, ranking, metaphors, importance of data sets + LLMs
- Stereotypes and biases: "Man is to computer programmer as woman is to homemaker?" (see Bolukbasi/Chang et.al. (2016) debiasing word embeddings)
- Men should also be associated with parental leave, home help, part-time...

Bias is human

- Data reflects society but also shapes perceptions and prejudices.
- Gender Innovations Project of Stanford (Machine Learning: Analyzing Gender, https://genderedinnovations.stanford.ed
- Research on Implicit Associations Test (Greenwald and Banaij) + implicit.harvard.edu/

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AI and equality in practice

Employment agency AT

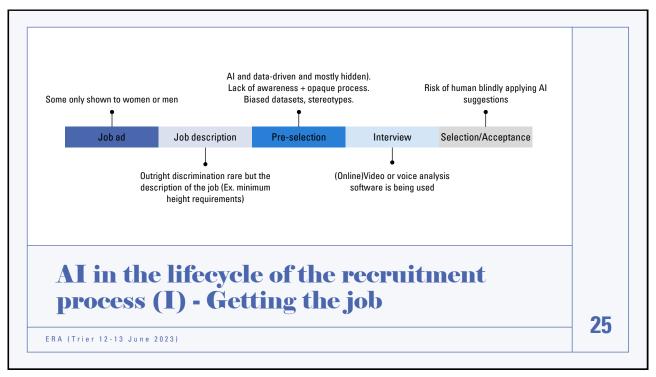
- State use of AI / monopoly of labour market placement (AMS)
- Possible discrimination against women
- Problem: WLB is reflected in the data
- Source code of the algorithm disclosed

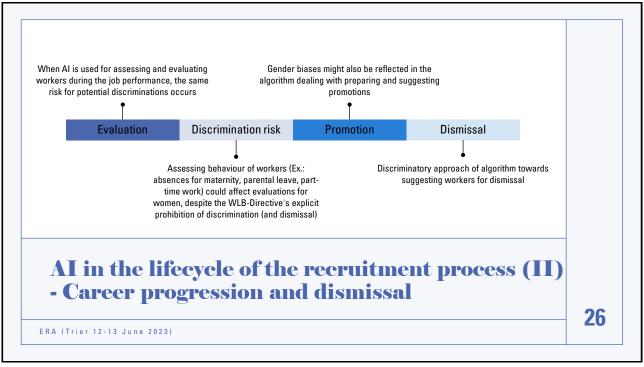
Amazon Recruiting Al

- · Private actor
- Possible discrimination against women
- Problem: Historical data (much more data from men than women)
- Solution: Use new data!

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Legal questions

Problems of Evidence and Proof

- Providing evidence (Al does not write a reason for rejecting a candidate)
- Burden of proof (who proves what?) + reversal
- · Access to opaque AI systems?
- Algorithm as trade secret/commercial legal protection
 - In-camera process as a solution
- · Judge as AI expert or external expertise needed?

Law Enforcement

- European Commission, DG Justice
- · National anti-discrimination bodies (see new Dir)
- · Private enforcement
- Referral procedure pursuant to Art. 267 TFEU (central importance for further development of the law)
 - When will a national court refer a discrimination case involving AI to the ECJ?
- · Chief Data/Computer Scientist for KOM

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EU case law (I)

Danfoss C-109/88 (1988)

"where an undertaking applies a system of pay which is totally lacking in transparency, it is for the employer to prove that his practice in the matter of wages is not discriminatory, if a female worker establishes, in relation to a relatively large number of employees, that the average pay for women is less than that for men" (§ 16)

Kelly, C-104/10 (2011)

- "does <u>not</u> entitle an applicant for vocational training, who
 believes that his application was not accepted because of
 an infringement of the <u>principle of equal treatment</u>, to
 information held by the course provider on the
 qualifications of the other applicants for the course in
 question, in <u>order that he may establish 'facts from which</u>
 it may be presumed that there has been direct or indirect
 discrimination' in accordance with that provision. "(§ 38)
- "Nevertheless, it cannot be ruled out that a refusal of disclosure by the defendant, in the context of establishing such facts, could risk compromising the achievement of the objective pursued by that directive and thus depriving, in particular, Article 4(1) thereof of its effectiveness. It is for the national court to ascertain whether that is the case in the main proceedings." (§ 39)

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EU case law (II)

Meister C-415/10 (2012)

- No right to information regarding other applicants in case of non-selection (§ 46)
- However, it cannot be ruled out that a <u>defendant's refusal to grant any access to information may be one of the factors to take into account in the context of establishing facts from which it may be presumed that there has been direct or indirect <u>discrimination</u>. It is for the referring court (...) to determine whether that is the case in the main proceedings." (§ 47)</u>

Shuch-Ghannadan, C-274/18 (2019).

• it is established that that legislation adversely affects a significantly higher percentage of female workers than male workers and if that legislation is not objectively justified by a legitimate aim or if the means of achieving that aim are not appropriate and necessary. Article 19(1) of that directive must be interpreted as not requiring the party who considers him or herself wronged by such discrimination to submit, in order to establish a prima facie case of discrimination, specific statistics or facts pertaining to the workers concerned by the national legislation at issue if that party does not have access to those statistics or facts or can access them only with difficulty.

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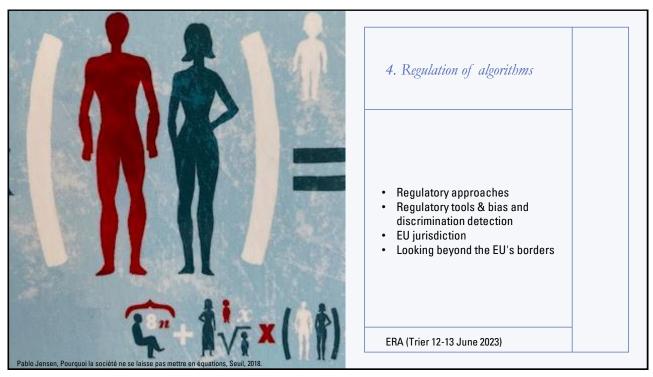
EU case law (III): Legal limits to AI?

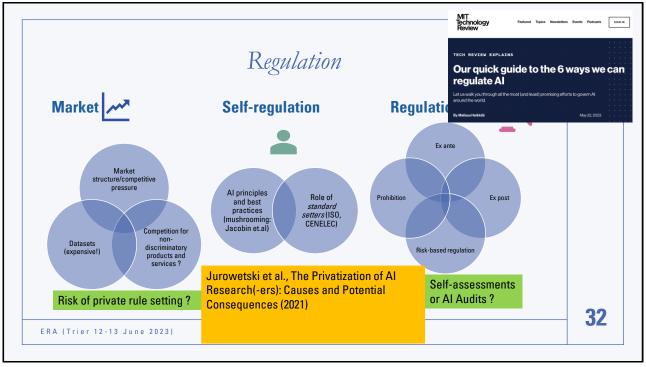
- CJEU JUDGMENT OF 21. 6. 2022 CASE C-817/19 LIGUE DES DROITS HUMAINS ECLI:EU:C:2022:491
 - Definition of Al/machine learning (para. 58, 194, 195)
 - What are the limits? For PNR context (requires pre-determined' criteria), non-stability of criteria inherent to
 machine learning algorithms was decisive ("(..) that requirement precludes the use of artificial intelligence
 technology in self-learning systems ('machine learning'), capable of modifying without human intervention
 or review the assessment process (..)", para. 194)
 - What will the Court do in the fundamental rights context ? High stakes decisions ?

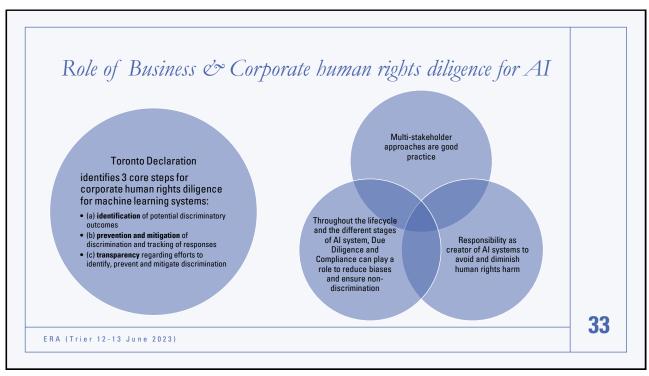
IT prohibits use of ChatGPT due to GDPR violations and other EU agencies are exploring the issue

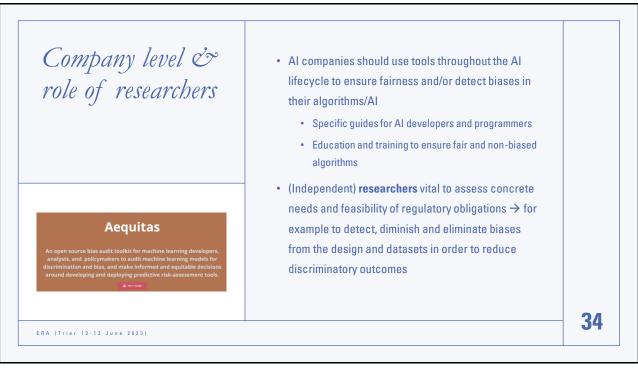
- → GDPR often considered for AD law by researchers and practionners (often due to absence of specific rules addressing AI and gender equality/discrimination issues)
- → Interplay between different legal regimes GDPR (see Lütz, La pollinisation croisée entre droit de la protection des données et droit de la non-discrimination, forthcoming 2023)

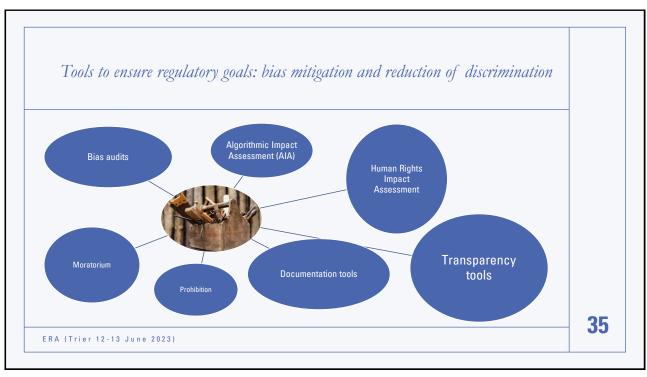
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Global dimension

CoE

- Work in progress towards legislative framework (2025)
- Recommendation CM/Rec(2020)1 on the human rights impacts of algorithmic systems
- "Need to ensure that (...) gender and other societal and labour force imbalances that have not yet been eliminated from our societies are not deliberately or accidentally perpetuated through algorithmic systems" (Preamble)

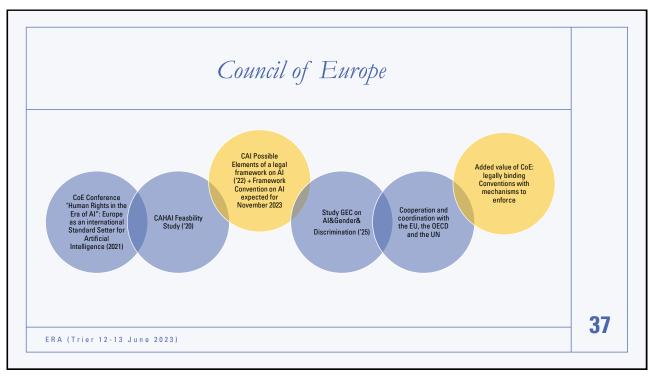
OECD

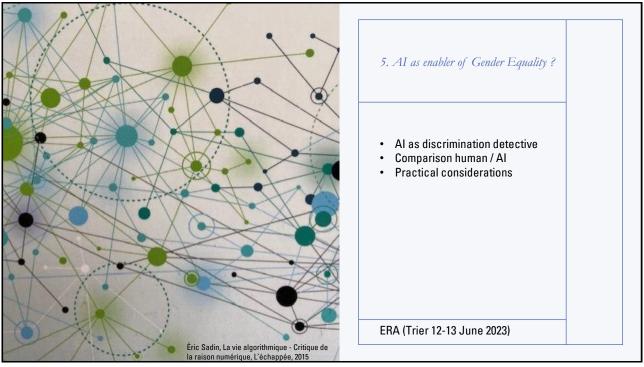
- OECD/LEGAL/0449, Recommendation of the Council on Artificial Intelligence (2019)
- "Al actors should respect (..) human rights and democratic values, throughout the Al system lifecycle.
 These include (...) non-discrimination and equality (...)» (1.2a)

United Nations

- UNESCO Recommendation on the Ethics of AI (2021), Gender as Policy Area 6 (§§ 87-93)
- The right to privacy in the digital age, Report of the UN High Commissioner for Human Rights, A/HRC/48/31:
- "advances in new technologies must not be used to erode human rights, deepen inequality or exacerbate existing discrimination"(§ 4) + "These systems (...) decide who has a chance to be recruited for a job" (Report, § 57).

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AI as discrimination detective

Elements

- · From the visible to the invisible, from the explicit to the opaque
- Human decisions are error-prone, but even AI is not necessarily better
- Algorithms can overcome (some) biases and noise (K/S/S, Noise, p. 334-337)

Examples

- · Automatic case law analysis
- · Better targeted enforcement, better use of resources
- Use algorithms to test other algorithms for possible discriminations
- Bias/Discrimination Detection software

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A comparison of humans and AI

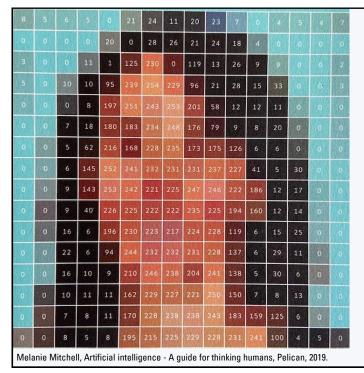
Advantages of Al

- · Coherence, objectivity, speed?
- · Better decision makers?
- · Exercise of discretion?
- · Identification of patterns
- · Al as (great) help (support) but humans must be in control

Al as an assistant

- · Assistant to the "street-level bureaucrat" (Lipsky,
- More predictability and reliability in administrative decisions?
- · Preparation of case analysis
- · Conclusion: Al cannot replace decision making
- "Unprecedented power of artificial intelligence which can be a force for good (..) (Disability (A/HRC/49/52))

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6. Conclusion & Outlook

- · Al poses risks for Gender Equality
- · Also Opportunities
- · Regulation is key
- · Shared role of business and states
- · Global dimension

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